

## 2021 Top 25 Broker-Dealers by Court of the Table Members (as of July 1, 2021)

			<u>2021</u>
<u>RANK</u>	BROKER-DEALER	<u>MARKET</u>	<u>MEMBERS</u>
1	NYLife Securities LLC	United States	258
2	Northwestern Mutual Investment Services	<b>United States</b>	118
3	Pruco Securities Corp	<b>United States</b>	61
4	Ameriprise Financial	<b>United States</b>	48
5	Park Avenue Securities LLC	<b>United States</b>	44
6	MML Investors Services LLC	<b>United States</b>	38
7	LPL Financial	<b>United States</b>	33
8	Ameritas Investment Corp	<b>United States</b>	32
9	Kestra Financial	<b>United States</b>	29
9	ValMark Securities Inc	<b>United States</b>	29
9	Sun Life Fin Investment Svcs(Canada)Inc	Canada	29
12	Principal Securities	<b>United States</b>	28
13	Royal Alliance Associates Inc	<b>United States</b>	27
14	ON Equity Sales Co	<b>United States</b>	24
14	M Holdings Securities Inc	<b>United States</b>	24
16	Woodbury Financial Services Inc	<b>United States</b>	20
17	Thrivent Investment Management Inc	<b>United States</b>	19
18	Cambridge Investment Research Inc	United States	17
19	Lincoln Financial Securities Corp	United States	16
19	MMLIS	United States	16
21	Lincoln Financial Advisors	United States	14
21	Allstate Financial Services, LLC	<b>United States</b>	14
21	Voya Financial Advisors, Inc	United States	14
24	Quadrus Investment Services Ltd	Canada	12
24	Cetera Advisor Networks LLC	United States	12